

AUG 03 2006

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| CERTIFICATE OF TRANSMISSION BY FACSIMILE (37 CFR 1.8) | | | Docket No. YKI-0060 | |
| Applicant(s): Yamada et al. | | | | |
| Application No. 09/748,470 | Filing Date December 26, 2000 | Examiner K.J. Ramsey | Group Art Unit 2879 | |
| Invention: DEPOSITION MASK AND MANUFACTURING METHOD THEREOF, AND ELECTROLUMINESCENCE DISPLAY DEVICE AND MANUFACTURING METHOD THEREOF | | | | |
| <p>I hereby certify that this <u>IDS Trans (1 pg); IDS (1 pg); 1449 (1 pg)</u> (Identify type of correspondence)</p> <p>is being facsimile transmitted to the United States Patent and Trademark Office (Fax. No. <u>1-571-273-8300</u>)</p> <p>on <u>August 3, 2006</u> (Date)</p> <p><u>Lucille J. Lehman</u> (Typed or Printed Name of Person Signing Certificate)</p> <p><u>Lucille J. Lehman</u> (Signature)</p> <p>Note: Each paper must have its own certificate of mailing.</p> | | | | |

P18/REV02

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INFORMATION DISCLOSURE STATEMENT

ATTY. DOCKET NUMBER YKI-0060 SERIAL NUMBER 09/748,470 FILED 12/26/2000
 FIRST INVENTOR: Yamada et al. TITLE DEPOSITION MASK AND MANUFACTURING METHOD THEREOF, AND ELECTROLUMINESCENCE DISPLAY DEVICE AND MANUFACTURING...
 EXAMINER K.J. Ramsey ART UNIT 2879

1. ☒ Applicant submits herewith a copy of (a) attached form PTO-1449, which lists all patents, publications, applications, or other information submitted for consideration by the Office; (b) a legible copy of each document required by 37 C.F.R. §1.98(b)(2).
2. ☐ Applicant herein apprises the Patent Office of references cited in a parent U.S. application from which this application obtains the benefit of an earlier filing date under 35 U.S.C. §120. The Serial Number of the parent application is U.S. Application _____ and entitled _____.
 The publications cited therein are listed on attached Form PTO-1449. In accordance with 37 C.F.R. §1.98(d) copies of the listed publications are not required. ☐ Additional parent patent applications are listed on an attached sheet.
3. ☐ In accordance with 37 C.F.R. §1.98(a)(3), Applicant hereby certifies that for each reference not in English (check at least one box below):
☐ A copy of a translation of one or more non-English document, or portion thereof, is provided herewith;
☐ A concise explanation is (check at least one box below):
☐ provided in the accompanying foreign or international search report; ☐ incorporated into the specification; and/or ☐ provided herewith.
- 37 C.F.R. §1.97(b)(1), (b)(2) – WITHIN 3 MONTHS OF FILING OR ENTRY IN NATIONAL STAGE
4. ☐ Since this Information Disclosure Statement is being filed within three months of the filing date of the subject application or within three months of the date of entry of the national stage as set forth in 37 C.F.R. §1.491 in an international application, no fee or certification under 37 C.F.R. §1.97(e) is required.
- 37 C.F.R. §1.97(b)(3), (b)(4) – PRIOR TO MAILING OF FIRST OFFICE ACTION OR FIRST ACTION AFTER REQUEST FOR CONTINUED EXAMINATION
5. ☐ Since this Information Disclosure Statement is being filed before the mailing date of the first Office Action on the merits, or before the mailing of a first Office action after the filing of a request for continued examination under 37 C.F.R. §1.114, no fee or certification under 37 C.F.R. §1.97(e) is required.
- 37 C.F.R. §1.97(c) – AFTER FIRST ACTION, BEFORE FINAL ACTION OR ALLOWANCE
6. ☒ Since this Information Disclosure Statement is being filed outside of the period provided for in 37 C.F.R. §1.97(b), but before the mailing date of a Final Rejection or Notice of Allowance, this submission is being accompanied by (one of the following boxes must be checked):
 a. ☐ the fee required under 37 C.F.R. §1.97(c)(2) and specified in 37 C.F.R. §1.17(p).
 b. ☒ the statement specified in 37 C.F.R. §1.97(e) (Box 8 or 9 must be checked).
- 37 C.F.R. §1.97(d) – AFTER FINAL REJECTION OR ALLOWANCE
7. ☐ Since this Information Disclosure Statement is being filed after the period specified in 37 C.F.R. §1.97(c), but on or before payment of the issue fee and is accompanied by both the statement specified in 37 C.F.R. §1.97(e) and the fee set forth in 37 C.F.R. §1.17(p). (Box 8 or 9 must be checked.)
8. ☐ In accordance with 37 C.F.R. §1.97(e)(1), Applicant's attorney certifies that each item of information contained in this Information Disclosure Statement was first cited in any communication from a foreign patent office in a counterpart foreign application not more than three months prior to the filing of this Information Disclosure Statement. ☐ The foreign or international search report(s) is/are enclosed.
9. ☒ In accordance with 37 C.F.R. §1.97(e)(2), Applicant's attorney certifies that no item of information contained in this Information Disclosure Statement was cited in a communication from a foreign patent office in a counterpart foreign application, and, to the knowledge of the person signing this certification after making reasonable inquiry, no item of information contained in this Information Disclosure Statement was known to any individual designated in 37 C.F.R. §1.56(c) more than three months prior to the filing of the information disclosure statement.
10. ☒ In the event the Commissioner of Patents deems that any additional fee is required under 37 C.F.R. §§ 1.16 or 1.17 in connection with this application, Applicant's attorneys authorize that such fee be charged to Deposit Account No. 06-1130.
11. Consideration of this Information Disclosure Statement is respectfully requested.

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|-----------|-------------------------|---------------------|----------------|
| Name: | Joel T. Charlton | Registration Number | 52,721 |
| Signature | <i>Joel T. Charlton</i> | Date | August 3, 2006 |

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| CERTIFICATE OF MAILING OR TRANSMISSION: I hereby certify that this correspondence is being deposited with the United States Postal Service with sufficient postage as first class mail in an envelope addressed to: Commissioner For Patents, P.O. Box 1450, Alexandria, VA 22313-1450, or [] facsimile transmitted to the U.S. Patent and Trademark Office to Facsimile No. 1-571-273-8300 on the date shown below. | | | |
| Name | Lucille J. Lehman | | |
| Signature | <i>Lucille Lehman</i> | Date | August 3, 2006 |

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Docket No. YKI-0060

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AUG 03 2006

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicant: TSUTOMU YAMADA ET AL.

Serial No.: 09/748,470

Group Art Unit: 2879

Filing Date: DECEMBER 26, 2000

Examiner: K. J. Ramsey

For: DEPOSITION MASK AND
MANUFACTURING METHOD THEREOF,
AND ELECTROLUMINESCENCE
DISPLAY DEVICE AND
MANUFACTURING METHOD THEREOFVia Facsimile: 1-571-273-8300
Commissioner for Patents
P. O. Box 1450
Alexandria, VA 22313-1450**INFORMATION DISCLOSURE STATEMENT**
UNDER 37 CFR §§ 1.56, 1.97 AND 1.98

Sir:

In compliance with the duty to disclose, submitted herewith is form PTO-A820 (PTO-1449) listing publication(s) of which those designated by 37 CFR § 1.56 are aware. Copies of the non-United States patents or published applications are enclosed.

The filing of this Information Disclosure Statement shall not be construed as a representation that a search has been made, or an admission that the information cited is, or is considered to be, material to patentability.

Respectfully submitted,

CANTOR COLBURN LLP

By: 

Joel T. Charlton

Registration No. 52,721

Date: August 3, 2006
Customer No.: 23413
Telephone: (404) 607-9991

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| INFORMATION DISCLOSURE CITATION <i>(Use several sheets if necessary)</i> | | | | | Docket Number (Optional) YKI-0060 | | Application Number 09/748,470 | |
| | | | | | Applicant(s) Yamada et al. | | | |
| | | | | | Filing Date December 26, 2000 | | Group Art Unit 2879 | |
| U.S. PATENT DOCUMENTS | | | | | | | | |
| *EXAMINER INITIAL | REF | DOCUMENT NUMBER | DATE | NAME | | CLASS | SUBCLASS | FILING DATE IF APPROPRIATE |
| | | 6,541,130 | 04/01/2003 | Fukuda | | | | |
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| U.S. PATENT APPLICATION PUBLICATIONS | | | | | | | | |
| *EXAMINER INITIAL | REF | DOCUMENT NUMBER | DATE | NAME | | CLASS | SUBCLASS | FILING DATE IF APPROPRIATE |
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| FOREIGN PATENT DOCUMENTS | | | | | | | | |
| | REF | DOCUMENT NUMBER | DATE | COUNTRY | | CLASS | SUBCLASS | <div style="text-align: center;">Translation</div> <div style="display: flex; justify-content: space-between;"> YES NO </div> |
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| OTHER DOCUMENTS <i>(Including Author, Title, Date, Pertinent Pages, Etc.)</i> | | | | | | | | |
| | | Office Action for related U.S. application, Serial No. 09/966,692, dated July 28, 2006. | | | | | | |
| | | | | | | | | |
| EXAMINER | | | | | DATE CONSIDERED | | | |
| EXAMINER: Initial if citation considered, whether or not citation is in conformance with MPEP Section 609; Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant. | | | | | | | | |

Form PTO-A820
(also form PTO-1449)

P09A/REV05

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SHEET 1 OF 1

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